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**PATENT**  
Attorney Docket No. 440561/PALL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

STEVENS ET AL.

Art Unit: Unassigned

Application No. 10/069,935

Examiner: Unassigned

Filed: March 1, 2002

For: WATER TREATMENT SYSTEMS AND  
METHODS

**RESPONSE TO NOTICE TO MISSING REQUIREMENTS**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to Missing Requirements dated April 29, 2002.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of  other than a small entity or  a small entity.

**2. Documents Submitted Herewith**

- a.  Executed Declaration of inventor(s)  
b.  Nucleotide and/or Amino Acid Sequence Submission:  
i.  Computer Readable Form (CRF)  
ii. Specification Sequence Listing on:  
(1)  CD-ROM or CD-R (2 copies); or  
(2)  Paper Copy  
iii.  Statement verifying identity of above copies  
c.  Verified English translation of application  
d.  Copy of the Notice to Missing Requirements  
e.  Substitute Drawings  
f.  Other:

**3. Fees**

**Application Filing Fee**

<b>APPLICATION FEES</b>			
<b>BASIC FEE</b>	\$ 740.00		
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
Total Claims	-20=		x \$18.00 \$
Independent Claims	- 3=		x \$84.00 \$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00 \$
			Total of above calculations = \$
			Reduction by 50% for filing by small entity = \$( )
			<b>Fee Due = \$</b>

**Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for  other than a small entity or  a small entity.

**Fee Due \$ 130.00**

**Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due \$ 0.00**

**Extension of Time**

- Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.
- Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due \$**

**4. Total Fee Due**

The total fee due is:

Application Filing Fee	\$
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00

**Total Fee Due: \$130.00**

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01 FC:154 130.00 0P

**5. Fee Payment**

- Attached is a check in the sum of \$130.00.  
Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

**6. Fee Deficiency**

- If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

*Leah Oubre*

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Leah Oubre, Reg. No. 44,990  
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Washington, DC 20005-3960  
(202) 737-6770 (telephone)  
(202) 737-6776 (facsimile)

Date: 6/28/02

Missing Parts Response (Revised 2/7/02)

LCO

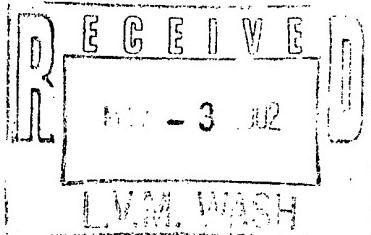


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/069,935	Doanld B Stevens	440561/PALL

23548  
 LEYDIG VOIT & MAYER, LTD.  
 700 THIRTEENTH ST. NW  
 SUITE 300  
 WASHINGTON, DC 20005-3960



INTERNATIONAL APPLICATION NO.	
PCT/US00/23980	
I.A. FILING DATE	PRIORITY DATE
09/01/2000	09/02/1999

CONFIRMATION NO. 7240  
 371 FORMALITIES LETTER



\*OC000000007972749\*

Date Mailed: 04/29/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

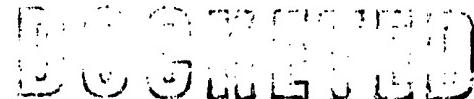
- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/069,935	PCT/US00/23980	440561/PALL

FORM PCT/DO/EO/905 (371 Formalities Notice)